

IN THE UNITED STATES PATENT AND TRADEMARK
OFFICE
REQUEST FOR FILING
(RULE 53(b)(1))



00909

FOR DESIGN OR UTILITY APPLICATIONS
(DO NOT USE FOR CIPs)

53(b)(1) PATENT APPLICATION:

Continuation)
) application under 37 CFR 1.53(b)(1)

Divisional)
) pending prior application of

Group Art Unit: 2851

Examiner: Hung NGUYEN

Inventor(s): Bruce W. SMITH

Parent Appl. No.: 09 422,398
Series Code ↑ Serial No. ↑

Atty. Dkt. P 284421 P-0276.012-US
New M# Client Ref

Parent Filed: October 21, 1999

This Appln. Filed: March 8, 2002

Title: ILLUMINATION DEVICE FOR PROJECTION SYSTEM AND METHOD FOR FABRICATING

Hon. Commissioner of Patents
Washington, DC 20231

Date: March 8, 2002
(Parent Matter No. 283617)

Sir:

To effect the above-requested filing today:

1. **Attached** is a copy (**which must be filed**) of the prior application, including:

- ☐ Abstract
☐ Specification and claims (24 pages) (**must be attached**)
☐ Drawings (**must be attached if originally filed**): 24 sheet(s)/set: ☐ 1 set informal; ☒ Formal of size ☒ A4 ☐ 11"

1A. Always X one box, only:

- (1) ☒ Copy of **Signed** declaration or oath as originally filed in prior application **attached**
(2) ☐ **NO** declaration or fee is enclosed; therefore, this is a filing under Rule 53(f).

2. ☐ This application is hereby filed by less than all of the inventors named in the prior application. Petition is hereby made requesting deletion as inventor(s) of the following who is/are **not** inventor(s) of the invention being claimed in this application (**DELETE THE FOLLOWING INVENTOR(S)**):

- | | |
|----------|----------|
| 1. _____ | 2. _____ |
| 3. _____ | 4. _____ |
| 5. _____ | 6. _____ |
| 7. _____ | 8. _____ |

2.5 THE INVENTOR(S) FOR THIS NEW APPLICATION IS(ARE):

- | | |
|-------------------|----------|
| 1. Bruce W. SMITH | 2. _____ |
| 3. _____ | 4. _____ |
| 5. _____ | 6. _____ |
| 7. _____ | 8. _____ |

3. The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated therein by reference thereto.

4. ☐ Priority is claimed under 35 U.S.C. 119/365 based on filing in _____ of _____ (country)
- | | Application No. | Filing Date | Application No. | Filing Date |
|-----|-----------------|-------------|-----------------|-------------|
| (1) | _____ | _____ | (2) | _____ |
| (3) | _____ | _____ | (4) | _____ |
| (5) | _____ | _____ | (6) | _____ |

- a. ☐ (No.) Certified copy/copies attached.
 b. ☐ Certified copy/copies previously filed on _____, filed on _____ in _____
 U.S. Application No. _____ / _____

- c. ☐ Certified copy/copies filed during International stage of PCT/ _____ / _____
 4(a). (a) ☒ Domestic priority is claimed from US Appln. No. 09/422,398, filed October 21, 1999
 (b) ☒ Benefit is claimed of Provisional Application No. 60/105,281, filed October 22, 1998; and
60/119,780, filed February 11, 1999.

ASM LITHOGRAPHY B.V.
 De Run 1110
 5503 LA Veldhoven, The Netherlands

5. ☒ Assignee (optional)
 6. ☐ Attached is the following number of Assignments (including original and all later successive ones by different assignors): _____ And respective **new** Cover Sheets. (Do **NOT** file old cover sheets.)
 (Assignments in parent **must be refiled** with new Cover Sheets in this continuing application if you want it/them recorded against the continuing application.)

Please return the recorded Assignment to the undersigned.

7. ☒ The power of attorney in the prior application is to PILLSBURY WINTHROP LLP
 (Name and Reg. No.)
 whose current address is as in item 8 below.
 a ☒ Recognize as associate attorney Robert C. Perez, Registration No. 39,328
 (Name, Reg. No. and Address)

8. **Address all future communications to Intellectual Property Group of Pillsbury Winthrop LLP, 1600 Tysons Boulevard, McLean, VA 22102**

9. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference.

No.	09/	422,398	filed	October 21, 1999
No.	60/	105,281	filed	October 22, 1998
No.	60/	119,780	filed	February 11, 1999
No.	60/		filed	

Designated the U.S. and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English

- 9(a). ☐ See the attached Preliminary Amendment, which amends the first page of the specification to claim benefit of the above listed US application(s)

10. **Small Entity Status** → ☒ is **Not** claimed ☐ is claimed (**pre-filing confirmation required**)

(No.) Small Entity Statement(s) (**not** essential since 9/8/00) were/are:
☐ filed in above prior application
☐ attached.

- (one box)
(must be)
(X'd)
- ☐ is being considered for prior art
☐ was previously filed in that prior application
☒ is not necessary for copendency (**Double check** before X'ing this box).
12. ☒ **INFORMATION DISCLOSURE STATEMENT:** Attached is Form PTO-1449 listing all of the documents cited by Applicant and the PTO in the parent application(s) relied upon under 35 USC 120 and referenced in item 9 above. Per Rule 98(d) copies of those documents are not required now. Please consider those documents and advise that they have been considered in this new application as by returning a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column per MPEP 609.
13. ☐ Attached is a Rule 103(a) Petition to Suspend Action.
14. ☐ **Please see** the attached Preliminary Amendment which reduces the number of claims for purposes of reducing the initial filing fee.

FILING FEE
THE FOLLOWING FILING FEE IS BASED ON
-->-->-->CLAIMS AS FILED AND CHANGED BY PRELIMINARY AMENDMENT IN ITEM 14<--<--<--

NOTE: If box 1A2 is X'd, do not pay fees,
but leave lines 15-22 and 27-32 blank.

NOTE

but leave lines 15-22 and 23

				Large/Small Entity		Fee Code
15. Basic Filing Fee				\$330/\$165		106/26
16. Basic Filing Fee				\$740/\$370	+740	101/201
..... Design Application						
..... Utility Application					+ 36	103/203
17. Total Effective Claims	22	minus 20 =	2	x \$18/\$9	+ 0	102/202
18. Independent Claims	3	minus 3 =	0	x \$84/\$42	+0	104/204
19. If any proper multiple dependent claim (ignore improper) is present,				\$280/\$140		
20.				Subtotal =	\$776	
21. If "petition" box 13 above is X'd, add petition fee. \$130					+0	122
21A. If box 6 above is X'd, add Assignment recording fee \$ 40					+0	581
TOTAL FILING FEE ATTACHED =					\$776	
						(forward to Item 31)

TOTAL FILING FEE ATTACHED = **\$776**
(carry forward to Item 31)

- 22.
23. ☐ ATTACHED:
24. ☐ See the attached Preliminary Amendment
25. ☐ See NONPUBLICATION REQUEST under Rule 213(a) attached (Pat-258)

**Claims
remaining
after
amendment**

Highest
number
previously
paid for

**Present
Extra**

**Additional
Fee**

Large/Small Entity

File Code

27.	Total Effective Claims	*	minus **	0	=	0	x	\$18/\$9	=	\$ 0	(103/203)
28.	Independent Claims	*	minus ***	0	=	0	x	\$84/\$42	=	+ 0	(102/202)
29.	If amendment enters proper multiple dependent claim(s) into this application for the first time, add (per application)									+ 0	(104/204)
										\$ 0	

ADDITIONAL FEE

30. plus FEE from item 22 on page 3 + 776

31. TOTAL FEE ATTACHED \$ 776

32.

33. *If the entry in this space is less than a entry in the next space, the "Present Extra" result is "0"

33. *If the entry in this space is less than 1, write "1" in this space

34. **If the "Highest number previously paid for" (see item 17 above) is less than 20, write "20" in this space

34. **If the "Highest number previously paid for" is 3 or more, write "3" in this space.

35. If the "Highest number previously paid for" (see item 18 above) is less than 3, write "3" in this space.

Our Deposit Account No. 03-3975
Our Order No. 81468 C#

C#

284421

M#



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CHARGE STATEMENT: Upon the filing of a Declaration pursuant to Rule 60(b) or 60(d), the Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

By Atty: Robert C. Perez

Reg. No. 39328

Sig:

Fax: (703) 905-2500
Tel: (703) 905-2159

Atty./Sec RCP/rsp

RCP/rsp

NOTE No. 1: File this Request in duplicate with 2 postcard receipts (PAT-103) & attachments

NOTE No. 2: Is extension in parent necessary for copendency? **DOUBLE CHECK** Item 11 above.

If yes, printout Pat-111 and head it in parent.